

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| IN THE UNITED STATES FATE.   | INT AIND TRADE            | WARK OFFICE           |
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| In Re Patent Application of:   | )                         |                       |
| L. Michael Maritzen, et al.  | Examiner:                 | Not Yet Assigned      |
| Application No.: 10/703,386  | ) Art Unit:               | Not Yet Assigned      |
| Filed: November 6, 2003  | )<br>)                    |                       |
| For: METHOD AND APPARATUS FOR USING A KIOSK AND A TRANSACTION DEVICE IN AN ELECTRONIC COMMERCE SYSTEM                                      | )<br>)<br>)               |                       |
| Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450   |                           |                       |
| INFORMATION DISCLES  Sir:  Enclosed is a copy of Information Disc  |                           |                       |
| PTO/SB/08 together with copies of the docum  |                           |                       |
| requested that the cited documents be consider   | ered and that the er      | aclosed copy of       |
| Information Disclosure Citation Form PTO-1   | 449 or PTO/SB/08          | be initialed by the   |
| Examiner to indicate such consideration and  | a copy thereof retu       | rned to applicant(s). |
| I hereby certify that this correspondence is being deposited v sufficient postage in an envelope addressed to the Commissi 22313-1450 on   | oner for Patents, P.O. Bo |                       |
| (Date of Roxanne Balanga Humphreys  (Typed or printed name of person mailing correspondence)  (Signature of person mailing correspondence) | Deposit)                  |                       |
|  |                           |                       |

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure

Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

| <u>X</u> | 37 C.F.R. §1.97(b).   |
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|          | 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following: |
|          | A statement pursuant to 37 C.F.R. §1.97(e) or   |
|          | A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).   |
|          | 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:              |
|          |   |

- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: MDVCJJ 22004

Sheryl Sue Holloway Reg. No. 37,850

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

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| IN       | FOR              | MATION                      | DISCI              | OSURE      | Application Number                                      |        | 10/703,386          | 0/703,386  |  |
|          |                  |                             |                    |            | Filing Date   |        | 11/6/03             |  |  |
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|          | /O W             | use as many shee            | ets as necessa     | ary)       | Group Art Unit Not Yet Ass<br>Examiner Name Not Yet Ass |        | Not Yet Ass         | igned  |  |
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| Sheet    |                  |                             | of                 | 3          | Attorney Docket Number                                  | er     | 080398.P41          | 0D   |  |
|          | SET CHARLES      | TARK                        |                    | U.S. PATE  | NT DOCUMENTS  |        |                     |  |  |
| Exam.    | 1 0116           | RADU.S. Patent I            | Document           | Name of Pa | tentee or Applicant                                     |        | Publication of      | Pages, Columns, Lines,                                   |  |
| Initial* | No. <sup>1</sup> | Number<br>Code <sup>2</sup> | Kind<br>(If known) | of Cite    | ed Document   |        | Document<br>DD-YYYY | Where Relevant<br>Passages or Relevant<br>Figures Appear |  |
|          |                  | 5,329,589                   |                    | Fra        | ser, et al.   | 7/     | 12/94               |  |  |
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|                   | FOREIGN PATENT DOCUMENTS |  |  |                        |   |  |  |    |
|-------------------|--------------------------|--|--|------------------------|---|--|--|----|
| Exam.<br>Initial* | Cite<br>No. <sup>1</sup> |  |  | Kind Code <sup>5</sup> | Name of Patentee or Applicant of Cited Document | Date of Publication<br>of Cited Document<br>MM-DD-YYYY | Pages, Columns,<br>Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear | T⁵ |
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| Examiner  | Date Considered |  |
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| Signature |                 |  |

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

| Substitute for Form 1449A/PTO (Modified) |                         | Co                   | mplete if Knov         | vn  |                    |         |                |
|--|-------------------------|----------------------|------------------------|---|--------------------|---------|----------------|
| INFORMATION DISCLOSURE                   |                         | Application Number   | 10/703,38              | 6   |                    |         |                |
|  | ATEMENT BY APPLICANT    |                      | Filing Date            | 11/6/03   |                    |         |                |
| SIA                                      | I/EME                   | MI BY AN             | PLICANI                | First Named Inventor:   | Maritzen           |         |                |
| 1  | (use as n               | nany sheets as neces | ssary)                 | Group Art Unit  | Not Yet A          | ssigned |                |
|  |                         |                      |                        | Examiner Name   | Not Yet A          | ssigned |                |
| Sheet                                    | E                       | of                   | 3                      | Attorney Docket Number  | 080398.P4          | 10D     |                |
|  | ENTE TR                 | OTHE                 | R ART – NO PATE        | NT LITERATURE DOCU  | MENTS              |         |                |
| Examiner Initials*                       | Cite<br>No <sup>1</sup> | Include name of      | magazine, journal, sei | AL LETTERS), title of the articles in the articles and the same and the same articles and the same articles and the same articles are articles. The same articles are articles and the same articles are articles are articles. | .), date, page(s), |         | T <sup>2</sup> |
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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

## Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

| WIPO ST.16 Kind Codes | Kind of document   | Comments  |
|-----------------------|--|---|
| A1                    | Patent Application Publication                               | Pre-grant publication available March 2001                                  |
| A2                    | Patent Application Publication (Republication)               | Pre-grant publication available March 2001                                  |
| A9                    | Patent Application Publication (Corrected Publication)       | Pre-grant publication available March 2001                                  |
| B1                    | Patent   | No previously published pre-grant publication                               |
| В2                    | Patent   | Having a previously published pregrant publication and available March 2001 |
| C1, C2, C3            | Reexamination Certificate                                    | Previously used codes B1 and B2 are now used for granted Patents            |
| Е                     | Reissue Patent   | No change   |
| Н                     | Statutory Invention Registration (SIR)                       | No change   |
| P1                    | Plant Patent Application<br>Publication                      | Pre-grant publication available March 2001                                  |
| P2                    | Plant Patent   | No previously published pre-grant publication                               |
| Р3                    | Plant Patent   | Having a previously published pregrant publication and available March 2001 |
| P4                    | Plant Patent Application Publication (Republication)         | Pre-grant publication available after March 2001                            |
| Р9                    | Plant Patent Application Publication (Corrected Publication) | Pre-grant publication available March 2001                                  |
| S                     | Design Patent  | No change   |